

**CONTINUING
(1.53(b)) UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for continuing applications under 37 CFR 1.53(b))

Attorney Docket No.
1293.1059-CIPD2

First Named Inventor:
Jung-wan KO et al.

Title: APPARATUS FOR RECORDING AND/OR PLAYING
BACK CATALOG INFORMATION

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600
concerning utility
patent application contents.

ADDRESS TO:

**Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231**

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract [Total Pages: 18]
3. ☒ Drawing(s) (35 USC 113) [Total Sheets: 4] [FIGS. 1-4]
4. ☒ Oath or Declaration [Total Pages: 2]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
5. ☐ This application is filed by fewer than all the inventors named in the prior nonprovisional application.
 - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
6. ☐ Verified Statement Claiming Small Entity Status
7. ☒ Incorporation by Reference (usable if Box 4b is checked)
The entire disclosure of the prior application (identified in Item 20), from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
8. ☐ Application Data Sheet. See 37 C.F.R. 1.76
9. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)
10. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies
11. ☐ Assignment to of at Reel, Frame (for publication of assignee information under 37 CFR 1.215(B))
☐ for publication of assignee information under 37 CFR 1.215(b)

ACCOMPANYING APPLICATION PARTS

12. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
13. ☐ English Translation Document (if applicable)
14. ☒ Foreign priority benefit under 35 U.S.C. §119 is claimed.
 - a. ☒ Certified Copy of Priority Document(s) filed in prior application No. 09/263,816.
 - b. ☐ Certified Copy of Priority Document(s) enclosed.
 - c. ☐ Certified Copy of Priority Document(s) to follow.
15. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
16. ☐ Preliminary Amendment
 - a. ☐ enclosed herewith.
 - b. ☒ incorporated herein (see Box 20).
17. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
18. ☐ Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
19. ☐ Other:

20. CONTINUING APPLICATION, check appropriate box and supply the requisite information below:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/583,877

Prior application information: Examiner: Unassigned
Group/Art Unit: Unassigned

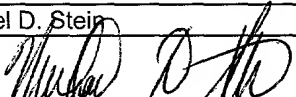
REMARKS

In accordance with the foregoing, the specification has been amended to reference the parent application and to comply with new rules regarding preferred format of the application. Original claims 1 through 33 from the parent application have been cancelled without prejudice or disclaimer, and new claims 34 through 61 have been newly added and renumbered as claims 1 through 28 for the purposes of publication. No new matter is presented.

21. NEW CORRESPONDENCE ADDRESS CUSTOMER NO. 21,171**21171**

PATENT TRADEMARK OFFICE

22. SIGNATURE OF ATTORNEY OR AGENT

| | | | |
|-----------|--|------------------|--------|
| NAME | Michael D. Stein | REGISTRATION NO. | 37,240 |
| SIGNATURE |  | DATE | 8/8/01 |

**NEW APPLICATION
FEE TRANSMITTAL**

| | |
|----------------------|--------------------|
| Attorney Docket No. | 1293.1059-CIPD2 |
| Application Number | Unassigned |
| Filing Date | August 8, 2001 |
| First Named Inventor | Jung-wan KO et al. |

AMOUNT ENCLOSED \$ 1,014.00

FEE CALCULATION (fees effective 10/01/00)

| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
|--------|---|---------------------|---------------------|--------------|------------------------|
| | TOTAL CLAIMS | 28 | - 20 = | 8 | X \$ 18.00 = \$ 144.00 |
| | INDEPENDENT CLAIMS | 5 | - 3 = | 2 | X \$ 80.00 = 160.00 |
| | MULTIPLE DEPENDENT CLAIMS (any number; if applicable) | | | + \$270.00 = | |
| | BASIC FILING FEE | | | | 710.00 |
| | Total of above Calculations = | | | | \$ 1,014.00 |
| | Surcharge for late filing fee, Oath or Declaration (37 CFR 1.53(f)) (\$130.00) | | | | |
| | Reduction by 50% for filing by small entity (37 CFR 1.27). | | | | |
| | TOTAL FILING FEE = | | | | \$ 1,014.00 |
| | Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d)) | | | | |
| | Recordation of Assignment (\$40.00; 37 CFR 1.21(h)) | | | | |
| | TOTAL FEES DUE = | | | | \$ 1,014.00 |

METHOD OF PAYMENT

- ☒ Check enclosed as payment.
- ☐ Charge "TOTAL FEES DUE" to the Deposit Account No. below.
- ☐ No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).

GENERAL AUTHORIZATION

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:

Deposit Account No.

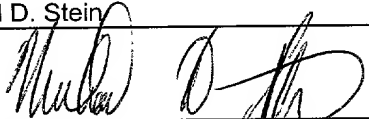
19-3935

Deposit Account Name

STAAS & HALSEY LLP

- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

SUBMITTED BY: STAAS & HALSEY LLP

| | | | |
|------------|---|----------|--------|
| Typed Name | Michael D. Stein | Reg. No. | 37,240 |
| Signature |  | Date | 8/8/01 |